

Table 1. Viking and D1 Co-Investments with Alleged Victims				
Date	Target	Round (Deal Size)	Komitee Investment Advisers	Alleged Victim
2/23/2017	Xcell Biosciences	Later Stage VC (\$12 million)	Viking	Wilson Sonsini Goodrich & Rosati
7/21/2017	Covetrus	Series F (\$223.55 million)	Viking	Clayton, Dubilier & Rice (co-led on deal)
6/12/2019	Viela Bio	Series B (\$75 million)	Viking	Goldman Sachs
9/17/2019	GitLab	Later Stage VC (Series E) (\$268 million)	D1 Capital Partners	Goldman Sachs Growth Equity
6/25/2020	Frigo Group	Buyout/LBO	D1 Capital Partners	The Goldman Sachs Group
7/29/2020	Mantra Bio	Early Stage VC (\$25 million)	Viking	SV Angel
1/29/2021	Robinhood	Series G (\$3.4 billion)	D1	CapitalG
4/8/2021	Ramp	Early Stage VC (Series B) (\$65.7 million)	D1 Capital Partners	The Goldman Sachs Group
4/14/2021	SpaceX	Series E (\$1.16 billion)	D1	Alphabet
5/18/2021	ID.me	Series C (\$100 million)	Viking	CapitalG Goldman Sachs Growth Equity
6/10/2021	Clip	Later Stage VC (\$250 million)	Viking	The Goldman Sachs Group
7/30/2021	Ualá	Later Stage VC (Series D) (\$350 million)	D1 Capital Partners	Goldman Sachs Asset Management
9/23/2021	Patina Health	Early Stage VC (\$50 million)	Viking	Google Ventures Europe
10/4/2021	Course Hero	Later Stage VC (Series C) (\$401 million)	D1 Capital Partners	SuRo Capital
10/29/2021	Guild	Series E (\$150 million)	D1	Emerson Collective
11/9/2021	Color	Later Stage VC (\$100 million)	Viking	Emerson Collective, SV Angel
1/27/2022	Fireblocks	Series E (\$550 million)	D1	Capital G
1/26/2023	Pearl Health	Later Stage VC	Viking	SV Angel Growth I
2/21/2023	AlphaSense	Later Stage VC (\$325 million)	Viking	CapitalG

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Date	Target	Round (Deal Size)	Komitee Investment Advisers	Alleged Victim
4/11/2023	ID.me	Later Stage VC (\$132 million)	Viking	CapitalG
9/28/2023	AlphaSense	Later Stage VC (\$150 million)	Viking	CapitalG, Goldman Sachs Asset Management
5/1/2024	DataSense Software	Buyout/LBO	D1 Capital Partners	Goldman Sachs Growth Equity
6/11/2024	AlphaSense	Later Stage VC (\$650 million)	Viking	CapitalG, Goldman Sachs Asset Management Fund Services Ltd., JPMorgan Chase

Table 1 Source: PitchBook deal data

Table 2. Stock Holdings in Alleged Victims, 2023

Komitee Hedge Funds (combined) (\$ millions)					Komitee Pro-Rata Beneficial Interest (\$ thousands or M millions)									
Quarter	GOOGL	GS	JPM	LYV	GOOGL		GS		JPM		LYV		COMBINED BENEFICIAL INTEREST	
	L				Low	High	Low	High	Low	High	Low	High	Low	High
1Q23	324	42	97	230	70	348	27	136	64	318	42	208	202	1.0M
2Q23	274		117		50	248			76	382			126	630
3Q23	248		166		72	358			109	545			181	903
4Q23	734		160	42	103	513			105	523	8	38	215	1.1M

Table 2 Source: Form 13Fs and ADVs filed by Komitee Hedge Fund; Form AO 10 filed by Komitee.

Empty cells indicate zero holdings that quarter.

GOOGL is the ticker for Alphabet Class A.

GS is Goldman Sachs Group Inc.

JPM is JPMorgan Chase & Co.

LYV is LiveNation Entertainment Inc.

Table 3. Viking Global Investors LP, Stock Holdings in Four Victims, 2008 to 2011 (\$ millions)

Quarter Ending	GOOG	GOOGL	GS	JPM	LYV
3/31/2008					
6/30/2008		39.5			
9/30/2008					
12/31/2008				101.5	
3/31/2009		208.3		147.4	
6/30/2009		306.3	234.5	294.0	
9/30/2009		199.4	151.9	456.5	
12/31/2009		127.9		339.0	
3/31/2010		240.8		265.8	
6/30/2010				228.4	
9/30/2010			51.2	81.2	
12/31/2010		179.8		79.8	
3/31/2011				466.3	
6/30/2011				390.5	
9/30/2011					
12/31/2011				119.7	

Table 3 Source: Form 13Fs filed by Viking Global Investors LP.

Empty cells indicate zero holdings that quarter.

GOOGL is the ticker for Alphabet Class A.

GOOG is the ticker for Alphabet Class C (no holdings during this period)

GS is Goldman Sachs Group Inc.

JPM is JPMorgan Chase & Co.

LYV is LiveNation Entertainment Inc. (no holdings during this period)

Neither D1 nor Junto existed during the 2008 to 2011 period.

Table 4. Viking Global Investors LP, Stock Holdings in Four Victims, 2012 to 2017 (\$ millions)

Quarter Ending	GOOG	GOOGL	GS	JPM	LYV
3/31/2012		675.5	108.7	78.1	
6/30/2012					
3/31/2013			90.0		
6/30/2013					
9/30/2014		543.4			
12/31/2014		394.0			
3/31/2015	461.0	908.8			
6/30/2015	597.3	1,477.6			
9/30/2015	682.5	1,617.8			
12/31/2015	870.0	1,442.0			
3/31/2016	787.0	1,564.3			
6/30/2016	582.2	1,262.8			
9/30/2016	832.6	1,578.5			
12/31/2016	832.8	1,432.6			
3/31/2017	891.8	1,203.5		324.6	
6/30/2017		634.3			
9/30/2017		1,436.5			
12/31/2017		1,045.6			

Source: Form 13Fs filed by Viking Global Investors LP.

Notes: All 13Fs during this period were signed and certified by Komitee himself.

Empty cells indicate zero holdings that quarter.

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LYV is LiveNation Entertainment Inc. (no holdings during this period)

Table 5. Junto Capital Management LP, Stock Holdings in Four Victims, 2012 to 2017 (\$ millions)

Quarter Ending	GOOG	GOOGL	GS	JPM	LYV
3/31/2012					
6/30/2012					
3/31/2013					
6/30/2013					
9/30/2014					
12/31/2014					
3/31/2015					
6/30/2015					
9/30/2015		24.9			
12/31/2015		39.8			
3/31/2016					
6/30/2016					
9/30/2016		25.6			
12/31/2016		42.4			
3/31/2017		36.2			
6/30/2017		52.7			
9/30/2017		76.9			30.3
12/31/2017		78.4			24.7

Table 5 Source: Form 13Fs filed by Junto Capital Management LP.

Empty cells indicate zero holdings that quarter.

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GOOG is the ticker for Alphabet Class C (no holdings during this period)

GS is Goldman Sachs Group Inc. (no holdings during this period)

JPM is JPMorgan Chase & Co. (no holdings during this period)

LYV is LiveNation Entertainment Inc.

Table 6. Viking Global Investors LP, Stock Holdings in Four Victims, 2018 and 2019 (\$ millions)

Quarter Ending	GOOG	GOOGL	GS	JPM	LYV
3/31/2018		1,030.5			
6/30/2018		691.4			
9/30/2018		69.9			
12/31/2018	158.2	810.9			
3/31/2019	161.5	440.9			
6/30/2019	123.4	305.5			
9/30/2019	84.6	161.1			
12/31/2019	0.0	74.0			

Table 6 Source: Form 13Fs filed by Viking Global Investors LP.

Notes:

Empty cells indicate zero holdings that quarter.

GOOGL is the ticker for Alphabet Class A.

GOOG is the ticker for Alphabet Class C.

GS is Goldman Sachs Group Inc. (no holdings in this period)

JPM is JPMorgan Chase & Co. (no holdings during this period)

LYV is LiveNation Entertainment Inc. (no holdings during this period)

Table 7. D1 Capital Partners LP, Stock Holdings in Four Victims, 2018 and 2019 (\$ millions)

Quarter Ending	GOOG	GOOGL	GS	JPM	LYV
3/31/2018					
6/30/2018					
9/30/2018					
12/31/2018		264.3			
3/31/2019		308.3			
6/30/2019		275.0			
9/30/2019					
12/31/2019		70.3			

Table 7 Source: Form 13Fs filed by D1 Capital Partners LP.

Empty cells indicate zero holdings that quarter.

GOOG is the ticker for Alphabet Class C. (no holdings in this period)

GOOGL is the ticker for Alphabet Class A.

GS is Goldman Sachs Group Inc. (no holdings in this period)

JPM is JPMorgan Chase & Co. (no holdings during this period)

LYV is LiveNation Entertainment Inc. (no holdings during this period)

Table 8. Junto Capital Management LP, Stock Holdings in Four Victims, 2018 to 2019 (\$ millions)

Quarter Ending	GOOG	GOOGL	GS	JPM	LYV
3/31/2018					29.5
6/30/2018					33.6
9/30/2018			54.2		24.3
12/31/2018		82.5			
3/31/2019					
6/30/2019		3.7			
9/30/2019		29.9	22.4		
12/31/2019		56.4			43.8

Source: Form 13Fs filed by Junto Capital Management LP.

Empty cells indicate zero holdings that quarter.

GOOG is the ticker for Alphabet Class C. (no holdings in this period)

GOOGL is the ticker for Alphabet Class A.

GS is Goldman Sachs Group Inc.

JPM is JPMorgan Chase & Co. (no holdings during this period)

LYV is LiveNation Entertainment Inc.

Table 9. Stock Holdings in Four Victims, 2020 to 2021, Hedge Funds and Komitee

Hedge Funds – Viking, D1, Junto Combined (\$ millions)					Komitee Pro-Rata Beneficial Interest (\$ thousands or M millions)									
Quarter	GOOGL L	GS	JPM	LYV	GOOGL Low High		GS Low High		JPM Low High		LYV Low High		COMBINED BENEFICIAL INTEREST Low High	
1Q20	547		407	159	174	871			110	550	62	309	346	1.7M
2Q20	153		1,183	251	59	297			329	1646	97	486	486	2.4M
3Q20	799	60	1,639	496	275	1.4M	71	357	440	2.2M	192	962	978	4.9M
4Q20	2,006	80	2,088	66	602	3M	96	481	578	2.9M	25	127	1.3M	6.5M
1Q21	1,035		133		189	945			13	67			203	1.0M
2Q21	161				41	203							41	203
3Q21	69				66	331							66	331
4Q21	105				101	504							101	504

Source: Form 13Fs and ADVs filed by Komitee Hedge Funds; Form AO 10 filed by Komitee.

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Table 10. Stock Holdings in Alleged Victims, 1Q23 to 2Q24

Komitee Hedge Funds (combined) (\$ millions)					Komitee Pro-Rata Beneficial Interest (\$ thousands or M millions)									
Quarter	GOO GL	GS	JPM	LYV	GOOGL Low High		GS Low High		JPM Low High		LYV Low High		COMBINED BENEFICIAL INTEREST Low High	
1Q23	324	42	97	230	70	348	27	136	64	318	42	208	202	1.0M
2Q23	274		117		50	248			76	382			126	630
3Q23	248		166		72	358			109	545			181	903
4Q23	734		160	42	103	513			105	523	8	38	215	1.1M
1Q24*	392	76	70	259	88	439	43	214	39	197	50	248	220	1.1M
2Q24*			609	332					57	283	64	318	120	601

Source: Form 13Fs and ADVs filed by Komitee Hedge Funds; Form AO 10 filed by Komitee.

Empty cells indicate zero holdings that quarter.

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*1Q24 and 2Q24 are inferred, assuming no changes in the value ranges of Komitee's hedge fund interests versus 2023.